

Portfolio Investment Scheme

Form No.

PIS ACCOUNT OPENING & RELATIONSHIP FORM

NRE – Repatriable **NRO – Non-Repatriable**

RBI permits NRIs / PIOs to invest in the secondary market in Indian companies under the Portfolio Investment Scheme (PIS). The transactions should be through a registered broker on a recognized Stock Exchange - On repatriation and / or non-repatriation basis.

The following is the list of services offered through our designated branches:

1. Issuance of authorization letter on behalf of RBI for investment under PIS
2. Easy transfer of funds for PIS operations
3. Computation & deduction of Capital Gains tax and effect payment of applicable taxes to Income-Tax Authorities
4. Handle mandatory reporting of PIS transactions to Reserve Bank of India
5. Demat services
6. Internet banking facility to access your PIS account from anywhere and anytime

Advantages of PIS Account

- Transparent and fair dealings
- Good avenue for diversified investments
- No minimum balance / Average quarterly balance required.

Serving the world...
...from India
...for your Investments



IDBI Bank Limited, Regd. Office: IDBI Tower, WTC Complex, Cuffe Parade, Mumbai – 400005.
Toll Free Numbers : 1800-200-1947 / 1800-22-1070, Non - Toll Free Number: 022-66937000.

Broker Label Code : _____ Sol ID: _____

Mr./Ms. _____ Cust ID 1: _____

Mr./Ms. _____ Cust ID 1: _____

Mr./Ms. _____ Cust ID 1: _____

Inward No. _____ Employee/DST Name & Code : _____

Client Relationship: New Existing

Scheme Code: RNEPS RNOPS

INSTRUCTIONS FOR FILLING UP THE FORM

- 1 Application form to be filled in CAPITAL letters only
- 2 Self-attested copies of PAN Card to be furnished by all applicants. In case the photo on PAN copy is not clear, another self-attested photo identity proof should be submitted. Original PAN Card should be provided for verification in case of face-to-face account opening.
- 3 In case of females, if PAN is in maiden name, then copy of Marriage Certificate or official Gazette to be submitted
- 4 In case customer is sending the Account Opening forms through post/courier all the documents and signature should be attested by an Indian Embassy or Notary or Banker abroad.
- 5 First applicant in PIS Account, Demat Account & Trading Account should be same. PIS permission shall be granted only to the First Applicant in the PIS Account.
- 6 Corrections, if any, are to be duly authenticated by all the applicants
- 7 Address mentioned on the form should exactly match as per the address proof document provided.

**Documents to be submitted along with this PIS application:
(Please tick '✓' the ones applicable to you)**

Part - A

- | | | | |
|---|--------------------------|--|--|
| 1. General Account opening checklist | <input type="checkbox"/> | | |
| 2. PIS Relationship Form (NRE/ NRO) | <input type="checkbox"/> | | |
| 3. Declaration | <input type="checkbox"/> | | |
| 4. Letter of Authority | <input type="checkbox"/> | | |
| 5. RPI/NRI Form (PIS Application form - with Repatriation / without Repatriation) RPI <input type="checkbox"/> NRI <input type="checkbox"/> | <input type="checkbox"/> | | |
| 6. Schedule of charges | <input type="checkbox"/> | | |
| 7. Nomination Form | <input type="checkbox"/> | | |
| 8. Customer Profile Form | <input type="checkbox"/> | | |

Part - B

- | | | | |
|--|--------------------------|--|--------------------------|
| 9. Client Account details | <input type="checkbox"/> | | |
| 10. Format of details of shares acquired on Repatriation/Non Repatriation basis (NRE/NRO shares) from primary market | | | |
| a) NRE (Annexure I) | <input type="checkbox"/> | | <input type="checkbox"/> |
| (i) Demat Statement | <input type="checkbox"/> | | |
| (ii) Allotment Letter | <input type="checkbox"/> | | |
| b) NRO (Annexure II) | <input type="checkbox"/> | | <input type="checkbox"/> |
| (i) Demat Statement | <input type="checkbox"/> | | |
| (ii) Allotment Letter | <input type="checkbox"/> | | |
| 11. Format of details of shares acquired as resident Indian (as per Annexure III) | <input type="checkbox"/> | | <input type="checkbox"/> |
| (i) Demat Statement | <input type="checkbox"/> | | |
| (ii) Allotment Letter/Share Purchase Contract Note | <input type="checkbox"/> | | |
| 12. In case of transfer of PIS Account from other bank | | | |
| a) Transfer of PIS Account from other bank | <input type="checkbox"/> | | <input type="checkbox"/> |
| (i) NRE (Annexure IV) | <input type="checkbox"/> | | <input type="checkbox"/> |
| (ii) NRO (Annexure V) | <input type="checkbox"/> | | <input type="checkbox"/> |
| b) PIS Closing holding statement of previous bank | <input type="checkbox"/> | | <input type="checkbox"/> |
| c) NOC for transfer of PIS Account to IDBI Bank | <input type="checkbox"/> | | <input type="checkbox"/> |
| d) Demat Statement | <input type="checkbox"/> | | <input type="checkbox"/> |

Part - C (Self Attested)

- | | | | |
|---|--------------------------|--|--------------------------|
| 13. Passport | <input type="checkbox"/> | | |
| 14. Visa/Work/ Resident Permit (Please specify) _____ | <input type="checkbox"/> | | <input type="checkbox"/> |
| 15. Overseas/Domestic Residence Proof | <input type="checkbox"/> | | |
| 16. PAN card | <input type="checkbox"/> | | |
| 17. Latest passport size photograph of each applicant | <input type="checkbox"/> | | |

To be filled by Home Branch

I hereby certify that I have done the verification and the above details are true and correct.

Signed by - Branch Head/SOM: _____

Name: _____

EIN: _____

(Part A)

PIS Relationship Form

NRE

NRO

Date _____
(dd/mm/yyyy)

Please open my/our PIS account at

Designated Branch

Personal Details

Applicant	Title	First Name	Middle Name	Surname	Occupation
1st	(Mr / Ms)				
2nd	(Mr / Ms)				
3rd	(Mr / Ms)				

Applicant	Date of Birth (dd/mm/yyyy)	Sex M/F/T	Mother's Maiden Name	Marital Status	PAN/GIR	Relationship with First Applicant
1st						Self
2nd						
3rd						

Overseas Address

Address: _____

 Zip Code _____ Country _____ Fax No. _____
 Mobile No. _____ Landline No. _____
 E-mail Id _____

Indian Address

Bldg./Flat No & Name _____
 Road No. & Name _____ Area _____
 City _____ State _____
 Landline No. _____ PIN Code _____
 Mobile No. _____ Fax No. _____

In case address details of the 2nd & 3rd applicant are different from the 1st applicant, attach additional sheet and provide the same as per the above format.

Mailing Address: Overseas / Indian (please tick as applicable).

Please note that all communications from bank will be sent to the mailing address.

Passport Details

Applicant	Passport No.	Date of Issue	Date of Expiry	Place of Issue	Nationality
1st					
2nd					
3rd					

Passport & Residence Visa/Work Permit duly attested by Banker Indian Embassy/High Commission Notary Public Others

Visa Details

Applicant	Visa No.	Date of Issue	Date of Expiry	Place of Issue
1st				
2nd				
3rd				

Account Operation

Single
 Either or Survivor
 Former or Survivor
 Anyone or Survivor
 Jointly by all
 Others

Instructions

Statement Frequency

Quarterly By post (Charges applicable)
 Monthly e-mail

Nomination

Yes No

(*Please complete the attached nomination form)

Internet Banking facility

Yes No

(*Please complete the channel registration form if not opted earlier)

1st Applicant Photo

X1
Signature I

2nd Applicant Photo

Y1
Signature II

3rd Applicant Photo

Z1
Signature III

X2

Name : _____

Y2

Name : _____

Z2

Name : _____

(applicant should also sign across the photograph)

To be filled by Home Branch

I have verified the particulars of the applicant/s on the basis of Passport /VISA/Work permit and am satisfied with the Non Resident Indian (NRI)/ Person of Indian origin (PIO)/ Overseas Citizen of India (OCI) status of the applicant/s. I hereby certify that the necessary KYC documents have been obtained by me. I confirm that the documents are adequate to comply with KYC requirement of the Bank. I hereby confirm that I have verified UN list of terrorist group and GOI and Bank's guidelines and confirm the applicant(s) are not included in caution advices / black list.

I also certify that (Please tick '✓' which ever is applicable)

I have met the customer and spoken to him. I had verified the copies of KYC documents with the original document

We have received the Account opening form along with the KYC documents through post / courier. The Account Opening form and KYC documents are attested by _____

Based on the above, the NRI PIS account may be opened.

Name of SOM/BH

Signature / EIN Code

Date

Declaration

I/We do hereby solemnly declare and undertake as under:

1. I am/We are Non Resident Indian(s)/Person(s) of Indian Origin and not residents of any Jurisdiction where opening or maintaining of the Account is prohibited by the law and Regulatory requirement of such Jurisdiction and/or the applicable laws in India.
2. I/We agree to abide by the provisions of the NRE/NRO Accounts scheme and the Portfolio Investment Scheme as laid down by the RBI and as per the relevant Act and the Regulations as amended from time to time. I/We also agree that if any of the statements/declarations made herein are found to be incorrect, Bank is not bound to pay any interest on the deposits made by me/us and may also recover any interest credited earlier to the said account/s
3. I/We hereby state that I/We shall not maintain any other PIS account (NRE/NRO) with any other bank. I/We understand that PIS accounts are permitted with any one designated bank branch in India, at any given point of time
4. I/We understand that I/We have to maintain a separate Savings PIS A/c (NRE/NRO) for purpose of investments in secondary markets on repatriation and non-repatriation basis respectively. No other transactions of any other nature will be routed through these accounts.
5. I/We understand that no. chequebooks and ATM-cum-Debit card shall be issued with PIS SB Accounts & no third party transactions will be made by me/us.

6. I/We authorize the bank to debit all types of bank charges/commission/fees payable by me/us to my/our PIS Savings Bank Accounts(NRE/NRO) / Normal Savings Bank Accounts (NRE/NRO) that are linked to the respective PIS Accounts (NRE/NRO). I/We undertake that adequate balance shall be maintained by me/us in the respective Savings Bank accounts to facilitate the debiting of interest and service charges. The failure on part of me/us to maintain sufficient balances in the accounts shall not in anyway impair the right of the Bank to claim/recover the entire amount due, including interest and services charges in full, by any means in whatsoever manner, including by debit to any other accounts maintained by me/us with IDBI Bank.
7. I/We understand that Funds Transfer from normal SBNRE A/cs to PIS SBNRE A/cs and vice versa is only allowed. Similarly, Funds Transfer from normal SBNRO A/cs to PIS SBNRO A/cs and vice versa is allowed and from normal SBNRE A/cs to normal SBNRO A/cs is only allowed.
8. I/We undertake to provide all the necessary documents/clarifications whenever required by the bank. I/We understand that the PIS Account will be used only for bonafide transactions relating to PIS that does not involve any violations of the provisions of any Government/Exchange Control Regulations and I/We shall be solely liable for any action initiated by any of the regulatory authorities concerned.
9. I/We also state that I/We am/are solely liable for any non-compliance of the SEBI/RBI Regulations as well as FEMA Regulations or any other relevant directives/guidelines that may be in force on account of my/our PIS accounts
10. I/We agree and confirm to bear any losses or claims that may arise directly/indirectly on account of the Bank acting on any instructions received by it by fax or any electronic media given by me/us or on my/our behalf and agree to keep the Bank indemnified from any such losses and/or claims.
11. While opening PIS Account with IDBI Bank, I/we would ensure that all my/our shares would be held in dematerialized form and no shares would be held in physical form. I/We would also ensure that inter-Demat transfers would not be carried out by me/us under the PIS scheme within my/our other Demat accounts (PIS/Non-PIS) or to any third party through Delivery Instruction Slip (DIS) or otherwise.
12. I/We agree that if PIS account is transferred from any other bank to IDBI bank, then I/We shall furnish a 'No Objection Certificate' from my/our present banker along with relevant Annexure as specified by the Bank with a latest copy of the Demat statement of securities.
13. My/our accounts shall be opened and I/we shall start trading on Recognized Stock Exchange only after closure of the existing PIS account with the previous bank and on receipt of intimation from IDBI Bank.
14. I/We shall not undertake following transactions, either intentional or otherwise
 - a. Non delivery based transactions
 - b. Intra-day purchase & sale or vice versa
 - c. Speculative transactions
 - d. Short-selling transactions
 - e. Same day square-off
 - f. Auction transactions (purchase/sale auctions)
 - g. Off-market transfers
15. I/We shall not purchase Banned/Cautioned scrips that are prohibited by RBI. In case of default, I/We shall arrange to sell the same immediately and losses and applicable charges, if any, on account of such transactions will be borne by me/us and I/we shall be responsible for legal consequences, if any. Further, the First In First Out (FIFO) method of Capital Gain computation shall be effected and accordingly Tax shall be deducted at source.
16. In case of delay, default, non-compliance, non-provision of details from Me/Us/ Broker within prescribed time, I/we shall be solely responsible for the following consequences
 - a. FIFO method of Capital Gains computation (period of holding) for such transactions and subsequent transactions shall be impacted
 - b. Tax may be deducted on gross sale proceeds at the maximum marginal rate.
 - c. Any delayed credit to my respective accounts. Further, the sale proceeds may be credited to my Non-Repatriable Accounts or shall be kept on hold by the Bank till I/we submit required details to the Bank
 - d. Additional fee/charges, if any, charged by the Bank without holding the Bank responsible for the same
 - e. Incorrect Reporting to RBI and non-compliance with respect to other Legal entities like Company Law, FEMA, Income Tax.
 - f. Any legal consequences, be it tax-related, interest, penalty, prosecution or otherwise
17. In case I/we wish to acquire Cautioned scrips or Gift shares as per the relevant statute, I/we would take specific permission from RBI for the same
18. I/We understand that the purchase of equity shares/convertible debentures in each company with repatriation and non-repatriation benefits shall not exceed 5% (or as prescribed by Reserve bank of India from time to time) of the paid up capital of the company, subject to an overall ceiling of 10% (or as prescribed by Reserve Bank of India from time to time) All consequences of failure in such compliance, including any losses arising out of reversal of transactions shall be to our account.
19. I/We shall ensure that sufficient balances to cover full purchase consideration of securities are maintained in the PIS Savings Bank Accounts (NRE/NRO) while placing purchase requests with my/our broker.
20. I/We authorise the bank to debit my/our PIS A/C (NRE/NRO) for equivalent value of contract note for purchase transactions received from SEBI registered stock broker.
21. I/We shall ensure that my/our depository account (Demat account) contains free shares for delivery, prior to placing any sale order with my/our broker.
22. I/We also state that I/We shall not involve or hold the bank responsible for any dispute between me/us and my/our Broker.
23. I/We shall intimate the bank about any change in the status of security holdings on account of Corporate Actions or otherwise (IPO/FPO, Rights, Buy Back, ESOP, Inheritance, Gift, etc..) to facilitate proper reporting under NRE/NRO PIS to RBI and Capital Gain computations as per the Income Tax Act, 1961.
24. I/We shall report all secondary market transactions done through SEBI registered Stock Broker on recognized stock exchange and on the same day arrange to submit the original contract note of the transactions to the Bank from such Stock Broker
25. I/We hereby state that I/we shall not hold the bank responsible for any loss on account of non-reporting of the purchase of shares (Rights/IPO/Secondary markets, etc..) before their sale. I/We agree that in case of late reporting by me, the date of reporting/date of dematerialization (whichever is later) be considered as the date of acquisition for the calculation of Capital Gains Tax.

26. I/We understand that no net credits/debits for the day's transactions shall be permitted i.e. gross settlement shall be done. All individual transactions in the PIS accounts shall be reflected at their full value.
27. I/We authorize the Bank to calculate the tax on First in First Out (FIFO) basis and deduct tax at source wherever applicable, at the rates notified by the government from time to time. For the purpose of deduction of tax at source, losses arising through individual transaction on same day or otherwise will not be set-off against gains, if any arising from other transactions in the PIS on same day or otherwise.
28. I/We understand that IDBI Bank can outsource the tax calculation and related activities (viz., calculation of capital gains tax, issue of CA Certificate, compiling data for RBI reporting, etc.) to a Chartered Accountancy firm specialised in PIS Services who may have access to my/our account/transaction details. I/We would not have any objection in the said agency knowing/referring my/our account details and would not hold IDBI Bank/CA firm liable in any manner in this regard.
29. I/We agree to adhere to the RBI guidelines and restrictions on purchase of shares (Banned / Caution) and shall refer RBI website before dealing on recognised stock exchange (check site <http://www.rbi.org.in>) or any other website notified in future.
30. I/We understand that if I/we am/are unable to provide the proof of scrips held by me/us in my/our NRE PIS A/cs, then I/we would not be permitted to repatriate such funds out of India or transfer to any other NRE Accounts.
31. Any changes such as residential status, name/address/email ID, telephone numbers, contact person, etc., in any of my Accounts shall be intimated to the Bank immediately.
32. I/We understand that the Bank may at its absolute discretion, discontinue any of the services completely or partially without any notice to me/us.
33. I/We specifically agree and confirm that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws and shall be subject to the jurisdiction of the Courts within the Republic of India.
34. I/We shall indemnify and hold the bank harmless against any direct losses, costs or claims which bank might incur/suffer as a result under PIS.
35. I/We specifically agree to hold the bank harmless from any and all claims and agree that the bank shall not be liable for any loss, actual or perceived, caused directly or indirectly by equipment failure, communication line failure, system failure, internet, unauthorized access or any other problem technological or otherwise, that might prevent the client from entering or the bank from executing an order or other conditions beyond bank's control.
36. I/We do hereby declare that the information furnished in this form is true to the best of my/our knowledge and belief.
37. I/We shall irrevocably and unconditionally authorize you to act on my faxed / scanned instructions/instructions received through my/our registered e-mail id, which you in your sole discretion believe emanate from me/us or otherwise appear to comply with the terms of the mandate for the account(s) viz., PIS Account No. NRE _____
NRO _____; PIS Linked Savings Account No. NRE _____
NRO _____ maintained under the same Customer ID and you shall not be liable for acting in good faith on faxed / scanned instructions / instructions received through registered e-mail id which emanate from unauthorized individuals or any circumstances whatsoever.
38. I/We am/are aware of possible risks involved in connection with the giving of any faxed/scanned instruction/instructions received through my/our registered e-mail id.
39. I/We undertake to keep you indemnified at all time against, and to save you harmless from all actions, proceedings, claims, loss, damage, cost and expenses which may be brought against you or suffered or incurred by you and which shall have arisen either directly or indirectly out of or in connection with your accepting faxed/scanned instructions from me/us/accepting instructions received through my/our registered e-mail id, and acting thereon, whether or not the same are confirmed in writing by me/us. I/We undertake to submit / furnish the mandate letter bearing an original signature in due course for your records.
40. I/We confirm that I/We have read and understood the above declaration pertaining to the PIS account mentioned in this form and signed as a token of my/our agreeing to comply with them.

X3
7

Signature of 1st Applicant

Name: _____

Y3
7

Signature of 2nd Applicant

Name: _____

Z3
7

Signature of 3rd Applicant

Name: _____

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____ Signature

Name : _____

EIN : _____

Letter of Authority

IDBI Bank Limited,

Dear Sir,

Operation of PIS Account

I, _____ / We, _____, _____
_____ hold an NREPIS / NROPIS Account no. _____ PIS Account No. _____
with IDBI Bank branch and in order to facilitate proper execution of my / our transactions including but not limited to transactions for purchase or redemption or sale of shares, securities, stocks, bonds, debentures, mutual fund units, units of any collective investments scheme or any other security or financial instrument, I / We do hereby authorise IDBI Bank to do all the following acts, deeds and things for and on my / our behalf namely:

- (i) to operate, block and / or debit the PIS Account to meet all margin / settlement obligations in terms of the Master Client Agreement for transactions between me/us and _____ Broker Name _____
(SEBI Registration No. _____) herein after referred as the Broker
having its registered office at _____

and to meet all funds obligations for transactions done on behalf of me/us and to give other instructions relating to the PIS Account.

- (ii) to credit to the PIS Account with moneys due to me/us.
(iii) to debit / issue instructions to debit my / our Bank accounts maintained with IDBI Bank towards moneys / fees / charges, etc. due to the Broker or IDBI Bank and to discharge all funds obligations in this regard on my /our behalf by virtue of I / We using / subscribing to any of the facilities / services provided by IDBI Bank / Broker.
(iv) if required for the purpose, to make applications, issue instructions for creation of lien and / or charge or funds for the purpose of margin or other monies payable by me / us for the trades/transactions done or to be done by me /us through the Broker
(v) to deal with my /our assessment to income tax, super tax, gift tax, expenditure tax, capital gains tax and any other tax on incomes, revenue or capital, to apply for refunds of any such tax or taxes, to engage on my / our behalf and at my / our cost, auditors, lawyers or tax consultants as may be necessary or expedient to file all returns and papers and to attend and represent me / us before any authority or tribunal concerned and for all such purpose to sign, execute and deliver all such documents and make all such declarations as may be necessary in the premises and to apply for certified copies of any documents or orders made by aforesaid authorities.
(vi) to allow or authorize the Broker to have a "View Only Access" to my / our PIS Account.
(vii) to do such acts, deeds, and things which may be necessary or incidental to the operation or maintenance of the PIS Account and/or settlement of the transactions arising out of above referred the Master Client Agreement.

I / We confirm that this Letter of Authority shall remain binding on us / me and irrevocable till such time this Letter of Authority is revoked in writing with prior intimation of 30 days to IDBI Bank.

Yours faithfully,

 

Signature of 1st Applicant

 

Signature of 2nd Applicant

 

Signature of 3rd Applicant

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____ Signature _____
Name : _____
EIN : _____

NRI - REPATRIABLE

RPI

REGULATION 5 (3) (i)

Application from NRIs of Indian nationality or origin for permission under Foreign Exchange Management Act, to purchase shares of Indian companies through stock exchange/s in India with benefits of repatriation of capital invested and income earned thereon.

Instruction:

- The application should be completed in duplicate and submitted to the Chief General Manager, Exchange Control Department (Foreign Investment Division - NRI Cell). Reserve Bank of India, Central Office, Mumbai - 400001 through a designated branch of a bank authorized to deal in foreign exchange in India. Under the Portfolio Investment Scheme, only certain branches have been designated to undertake the work relating to investments. In order to facilitate such investments, it would be advisable if the applicant's account is maintained with the same branch of the bank through which this application is submitted.
- This form may be used for permission to purchase debentures also.
- Applicants wishing to purchase shares/debentures without repatriation benefits should submit a separate application on Form NRI to the above office of RBI, through the same designated branch
- For the purpose of the facility of investment in shares and securities, a person (not being a citizen of Pakistan or Bangladesh) shall be deemed to be of Indian origin, if (i) he, at any time, held Indian passport or (ii) he or either of his parents or any of his grand parents was a citizen of India by virtue of the Constitution of India or Citizenship Act, 1955 (57 of 1955). A spouse (not being citizen of Pakistan or Bangladesh) of an Indian citizen or of a Person of Indian Origin is also deemed to be a person of Indian origin.

NRI – NON-REPATRIABLE

NRI

REGULATION 5 (3) (i)

Application from NRIs of Indian nationality or origin for permission under of Foreign Exchange Management Act, to purchase shares of Indian companies through stock exchange/s in India without benefits of repatriation of capital invested and income earned thereon.

Instruction:

- The application should be completed in duplicate and submitted to the Chief General Manager, Exchange Control Department (Foreign Investment Division - NRI Cell). Reserve Bank of India, Central Office, Mumbai - 400001 through a designated branch of a bank authorized to deal in foreign exchange in India. Under the Portfolio Investment Scheme, only certain branches have been designated to undertake the work relating to investments. In order to facilitate such investments, it would be advisable if the applicant's account is maintained with the same branch of the bank through which this application is submitted.
- This form may be used for permission to purchase debentures also.
- Applicants wishing to purchase shares/debentures with repatriation benefits should submit a separate application on Form RPI to the above office of RBI, through the same designated branch.
- For the purpose of the facility of investment in shares and securities, a person (not being a citizen of Pakistan or Bangladesh) shall be deemed to be of Indian origin, if (i) he, at any time, held Indian passport or (ii) he or either of his parents or any of his grand parents was a citizen of India by virtue of the Constitution of India or Citizenship Act, 1955 (57 of 1955). A spouse (not being citizen of Pakistan or Bangladesh) of an Indian citizen or of a Person of Indian Origin is also deemed to be a person of Indian origin.

PIS Permission	<input type="checkbox"/> NRE - REPATRIABLE <input type="checkbox"/> NRO - NON-REPATRIABLE		
	1st holder	2nd holder	3rd holder
1. Particulars of the applicant (i) Full Name (ii) Overseas address (iii) Nationality (iv) Details of current Passport a. Passport No. b. Place and date of issue c. Issued by d. Country of residence as stated in the passport e. Country of birth (v) If the applicant is not a citizen of India, the basis on which he/she claims to be a person of 'Indian origin' (Please see instruction 4) (vi) Whether resident outside India permanently; if not, since when residing abroad (vii) Occupation (employment, business vocation etc.) (viii) Relationship with other joint holders			
2. Source of funds from which payment for shares/ debentures to be purchased will be made (i) By remittances from abroad (ii) From non-resident bank account in India a. Nature of account viz., NRE/NRO/FCNR a/c b. Account number c. Address of the bank branch maintaining the a/c			
3. Whether the applicant already holds any shares/ convertible debentures in Indian companies with/without benefits of repatriation. If so, please furnish complete list of such shares/convertible debentures in the Annexure provided indicating the name and address of the company, total number and face value of the shares/ convertible debentures held.			

I/We solemnly declare that the particulars given above are true and correct to the best of my/our knowledge and belief and that I/we am/are citizen (s) of India/person(s) of Indian origin.

I/We hereby agree and undertake that I/We, will not, at any time, seek repatriation of the capital invested. This undertaking will also be binding on my/our heirs, executors, successors and assigns and they will not be entitled to seek repatriation of any capitals so invested by me/us.

I/We agree and undertake to ensure that my/our total holding of equity/preference shares/convertible debentures in any one Indian company that may be allowed to be purchased through stock exchange/s (including the equity/preference shares/convertible debentures already held, if any, in that company) with or without repatriation benefits shall, at no time, exceed Five per cent of the paid-up equity capital/paid-up preference capital/paid-up value of each series of convertible debentures of that company.

Place: _____

Date: _____

X5
7

Signature of 1st Applicant

Y5
7

Signature of 2nd Applicant

Z5
7

Signature of 3rd Applicant

For Bank use (to be filled by Home Branch)

I/We hereby certify that the applicant(s) is/are non-resident(s) of Indian nationality/origin and that he/she/they is/are our bonafide constituent(s). We also undertake to ensure that shares/debentures will be purchased through recognized stock exchange/s in India in conformity with the relevant Exchange Control regulations and we shall comply with the terms and conditions as may be stipulated by the Reserve Bank while granting its permission to purchase shares on behalf of the applicant(s).

Date: _____

(Signature of Branch Head / SOM)

Name: _____

Designation: _____

Name and Address of Bank Branch: _____

Schedule of Charges for Portfolio Investment Scheme

Sr. No.	Particulars	Charges (INR)#
1	PIS approval issuance fee (one time fee)	₹1000
2	Annual account maintenance fee (AMC)*	₹1000
3	PIS Reporting charges - Purchase: Sale:	₹100 per trade date ₹100 per trade date

*1st year annual maintenance fees waived off for NRI-HNI customers of the bank. # Exclusive of GST as Applicable / New Tariff effective 01-09-2018

Terms & Conditions:

- Charges / service standards are subject to revision at the bank's sole discretion.
- Account maintenance fees are non refundable.
- PIS approval issuance fee will be collected up-front at the time of account opening and AMC will be debited after 3 months in newly opened accounts and thereafter annually, while reporting charges will be debited each time the transaction is processed.
- All fees will be debited to the designated PIS / Savings Account.
- All applicable taxes will be levied on the above fees/charges.
- The above charges are independent of the Demat Account charges, trading / transaction taxes, fees and / or charges.

I / We have accepted the above schedule of charges.

X6
7

Signature of 1st Applicant

Y6
7

Signature of 2nd Applicant

Z6
7

Signature of 3rd Applicant

To be filled by Home Branch

Verified and Signed by Branch Head/SOM: _____ Signature

Name: _____

EIN: _____

Nomination Form DA1

Nomination under Section 45 ZA of the Banking Regulation Act, 1949 and Rule 2(1) of the Banking Companies (Nomination) Rules in respect of bank deposits.

I/We _____
 Name(s) and Address(es) of depositors

_____ Name(s) and Address(es) of depositors

Nominate the following person to whom in the event of my/our/minors death, the amount of deposit in the account(s), particulars whereof are given below, may be returned by IDBI Bank Limited _____ branch.

Nature of Deposit	Account No.	Additional details, if any

Nominee

Name :

Mailing Address :

City : State : PIN Code :

Country :

Relationship with depositor (if any) : Age (yrs):

Date of Birth (if nominee is minor) :
 (dd/mm/yyyy)

*As the nominee is a minor on this date, I/We appoint Shri/Smt./Kum.

Mailing Address :

to receive the amount of the deposit in the account on behalf of the nominee in the event of my/our/minor's death during the minority of the nominee.

Holder(s) _____ ** Signature(s) / Thumb impression(s) of the Account
 (X7,Y7,Z7)

Witness(es)***

Name: _____

Name: _____

Signature *** _____

Signature *** _____

Address: _____

Address: _____

Place: _____

Place: _____

Date: _____

Date: _____

* Strike out if nominee is not a minor. ** Where the deposit is made in the name of minor, the nomination should be signed by a person lawfully entitled to act on behalf of the minor. *** Thumb impression(s) shall be attested by two witnesses.

In case of Deposits made by using Sweep out facility, same Nominee will be applicable.

Registration No. (To be filled in by the bank)

CUSTOMER PROFILE (ADDITIONAL INFORMATION OF INDIVIDUALS)

(Attach Separate Customer Profile for 2nd & 3rd applicant)

Residential status	<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident
If US citizen	Place of Birth <input type="text"/> Tax Identification No. <input type="text"/>
Education	<input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional
Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Line of Business/ Industry (Pls. provide detail) <input type="text"/> <input type="checkbox"/> Self employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Pls. specify) <input type="text"/>
If in service Name of organization	<input type="text"/>
If self employed-nature of business Since when in business specify Year	<input type="checkbox"/> Trading <input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Agriculture <input type="checkbox"/> Real estate <input type="checkbox"/> Since <input type="text"/> (Years)
If self employed professional	<input type="checkbox"/> CA <input type="checkbox"/> Doctor <input type="checkbox"/> Lawyer <input type="checkbox"/> Stock Broker <input type="checkbox"/> Consultant <input type="checkbox"/> Others (Pls. specify) <input type="text"/>
Sources of Income	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Agriculture <input type="checkbox"/> Inheritance <input type="checkbox"/> Investment <input type="checkbox"/> Others (Pls. specify) <input type="text"/>
Annual Income (Pls. attach copy of latest IT return / form 16 / salary slip)	<input type="checkbox"/> <₹60,000 <input type="checkbox"/> ₹60,000 - ₹1 Lakh <input type="checkbox"/> > ₹1 Lakh - ₹5 Lakh <input type="checkbox"/> > ₹5 Lakh - ₹15 Lakh <input type="checkbox"/> > ₹15 Lakh
Religion	<input type="checkbox"/> Hindu <input type="checkbox"/> Muslim <input type="checkbox"/> Christian <input type="checkbox"/> Sikh <input type="checkbox"/> Others (Pls. specify) <input type="text"/>
Category	<input type="checkbox"/> General <input type="checkbox"/> OBC <input type="checkbox"/> SC <input type="checkbox"/> ST
Transaction profile i.e. value of transactions likely to be routed through the account in a month/ quarter/ half year. In case of new firm sales tax return of the previous quarter or projected sales may be accepted: <input type="checkbox"/> < ₹50,000 <input type="checkbox"/> < ₹1,00,000 <input type="checkbox"/> < ₹10 Lakh <input type="checkbox"/> < ₹100 Lakh <input type="checkbox"/> > ₹100 Lakh	
Details of branch offices/ allied associate concerns and nature of their business <input type="text"/>	
Details of foreign collaboration if any <input type="text"/>	
Residence	<input type="checkbox"/> Owned <input type="checkbox"/> Leased <input type="checkbox"/> Others (Pls. specify) <input type="text"/>

Risk Level (Customer Profile) Basis of level categorization)	<input type="text"/>
--	----------------------

Signature

(Part B)

Client Account Details

(This sheet to be retained at designated branch)

Note: Do not strike off any field. Leave blank if not applicable.

NRE

NRO

Stock Broker Information

Broker Name _____

Address _____

SEBI Registration No .NSE _____ SEBI Registration No .BSE _____

Contact Person _____ Email: _____

Tel: _____ Fax: _____ Mobile: _____

Depository Participant Information

DP Name _____ DP Id

Client Id NRE Client Id NRO

DP Address _____

Contact Person _____ Email: _____

Tel: _____ Fax: _____ Mobile: _____

Non PIS Savings Bank Account Details
(To be linked to PIS Account)

NRE Savings Bank Account no.:

NRO Savings Bank Account no.:

Customer Id :

PAN No.:

X9

Signature of 1st Applicant

Y9

Signature of 2nd Applicant

Z9

Signature of 3rd Applicant

Name : _____ Name : _____ Name : _____

To be filled by designated branch

Client Name : _____ Branch _____ PIS Form No.: _____

PIS NRE A/c No. _____ PIS NRO A/c No. _____

RBI Approval No. _____ RBI Approval No. _____

Approval Date: _____ Approval Date: _____

Annexure I (REPATRIABLE BASIS NRE SHARES)

(This sheet to be retained at designated branch)

Client Name: _____ Note : if this annexure is not applicable to you, please sign in the signature box and write "Not Applicable" across the annexure. In case of any holding ensure that all the columns are mandatorily filled.

FOR SHARES ACQUIRED THROUGH PRIMARY MARKET ON REPATRIABLE BASIS (NRE SHARES) & HELD IN DEMATERIALIZED FORM

Sr. No.	Name of Company	Date of Acquisition (Date/ Month/Year)	No. of Shares (Quantity in Figures)	Face Value (per share) (₹)	Acquisition Price (per share) (₹)	Total Cost (₹)	Mode of Acquisition IPO/Bonus/Split Amalgamation/ Demerger

<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">X10</div> First Holder Signature	<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">Y10</div> Second Holder Signature	<div style="border: 1px solid black; border-radius: 50%; width: 30px; height: 30px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">Z10</div> Third Holder Signature
---	--	---

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____
 Signature

Name : _____

EIN : _____

Annexure II (NON-REPATRIABLE BASIS NRO SHARES)

Client Name: _____ Note : If this annexure is not applicable to you, please sign in the signature box and write "Not Applicable" across the annexure. In case of any holding ensure that all the columns are mandatorily filled.
(This sheet to be retained at designated branch)

FOR SHARES ACQUIRED THROUGH PRIMARY MARKET ON NON - REPATRIABLE BASIS (NRO SHARES) & HELD IN DEMATERIALIZED FORM

Sr. No.	Name of Company	Date of Acquisition (Date/ Month/Year)	No. of Shares (Quantity in Figures)	Face Value (per share) (₹)	Acquisition Price (per share) (₹)	Total Cost (₹)	Mode of Acquisition IPO/Bonus/Split Amalgamation/ Demerger

X11
Y11
Z11

First Holder Signature

Second Holder Signature

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____
 Name : _____
 EIN : _____

Third Holder Signature

Annexure III (SHARES ACQUIRED DURING THE RESIDENT STATUS)

(This sheet to be retained at designated branch)

Client Name: _____ Note : if this annexure is not applicable to you, please sign in the signature box and write "Not Applicable" across the annexure. In case of any holding ensure that all the columns are mandatorily filled.

FOR SHARES ACQUIRED / HELD BY NRI/AS RESIDENT INDIAN & HELD IN DEMATERIALIZED FORM

Sr. No.	Name of Company	Date of Acquisition (Date/ Month/Year)	No. of Shares (Quantity in Figures)	Face Value (per share) (₹)	Acquisition Price (per share) (₹)	Total Cost (₹)	Mode of Acquisition IPO/Secondary Market Bonus/Split Amalgamation/Gift Demerger

X12 First Holder Signature

Y12 Second Holder Signature

Z12 Third Holder Signature

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____ Signature

Name : _____

EIN : _____

ANNEXURE IV (TRANSFER OF NRE SHARES)

Client Name: _____ Note : If this annexure is not applicable to you, please sign in the signature box and write "Not Applicable" across the annexure. In case of any holding ensure that all the columns are mandatorily filled.
(This sheet to be retained at designated branch)

Customer Transfer of PIS Account from other Bank (Primary & Secondary Market Investment)

Sr. No.	Name of Scrip	Transaction from Primary OR Secondary	Transaction Date of purchase (Date/Month/Year)	No. of shares (Quantity in Figures)	Acquisition Price (per share) (₹)	Amount (₹)	Whether Reported to RBI Yes/No

X13

 First Holder Signature

Y13

 Second Holder Signature

Z13

 Third Holder Signature

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____
 Signature _____
 Name : _____
 EIN : _____

**ANNEXURE V
(TRANSFER OF NRO SHARES)**

Client Name: _____ Note : If this annexure is not applicable to you, please sign in the signature box and write "Not-Applicable" across the annexure. In case of any holding ensure that all the columns are mandatorily filled.
(This sheet to be retained at designated branch)

Customer Transfer of PIS Account from other Bank (Primary & Secondary Market Investment)

Sr. No.	Name of Scrip	Transaction from Primary OR Secondary	Transaction Date of purchase (Date/Month/Year)	No. of shares (Quantity in Figures)	Acquisition Price (per share) (₹)	Amount (₹)	Whether Reported to RBI Yes/No

X14
First Holder Signature

Y14
Second Holder Signature

Z14
Third Holder Signature

To be filled by Home Branch

Verified and Signed by Branch Head / SOM : _____
Signature

Name : _____
EIN : _____



IDBI Bank Limited, Regd. Office: IDBI Tower, WTC Complex, Cuffe Parade, Mumbai – 400005.
Toll Free Numbers: 1800-200-1947 / 1800-22-1070, **Non - Toll Free Number:** 022-66937000.

Visit us: www.idbi.com |  |  |  | 